Justice Reinvestment in Pennsylvania
A Comprehensive Public Safety Plan for the Commonwealth

Background

In January 2012, Governor Corbett, Chief Justice Castille, and legislative leaders convened a bipartisan, inter-branch Justice Reinvestment Working Group to develop a policy framework to improve public safety, reduce recidivism and manage spending on corrections. Over the past five months, the working group reviewed an exhaustive set of analyses of criminal justice data prepared by the Council of State Governments (CSG) Justice Center, in partnership with the Pew Center on the States and with support from the U.S. Department of Justice Bureau of Justice Assistance. Based on discussions with the working group and input from stakeholders across the criminal justice system, CSG Justice Center staff crafted a data-driven set of policy options. Together the options form a comprehensive public safety plan that reduces costly inefficiencies in Pennsylvania’s criminal justice system and reinvests savings in law enforcement strategies that deter crime, local diversion efforts that reduce recidivism, and services for crime victims.

Challenges

• Law enforcement’s ability to reduce crime has been hindered by a decline in federal and state funding; crime in many counties across the state has increased over the past ten years.
• Thousands of offenders cycle through prison with minimum sentences of less than one year, making it difficult for them to complete programs that could reduce their risk in a timely fashion.
• The parole process is negatively impacted by inefficiencies that prevent offenders from being released even after being granted parole. Conversely, some offenders are being placed in community facilities prior to completing their minimum sentence.
• Pennsylvania’s investments in Community Corrections Centers and Contract Facilities (CCCs and CCFs), intensive residential programs, could be more effective at reducing recidivism if they target higher risk populations. Right now, the programs target a mix of offenders, including lower-risk offenders.
Policy Framework

A Help Law Enforcement Deter Crime & Support Victims

Provide law enforcement with resources to deter crime through statewide efforts and competitive funding for strategic crime prevention initiatives.

- Designate half of the funding for grants to police departments to implement data-driven responses to crime, such as those involving hot spot policing, problem oriented policing, and to collaborate with local, state, or federal partners in order to better address specific jurisdictional challenges.
- Designate the other half of the funding for supporting statewide initiatives that will benefit all law enforcement agencies through cost-effective training strategies and improvements to criminal justice data systems.

Increase funding for statewide initiatives and community-based victim services.

- Target investments where the greatest level of victim and survivor need can be demonstrated through data-driven measures, such as the Empowerment and Satisfaction Questionnaire (ESQ).

Rationale: Between Fiscal Year (FY) 2007 and FY 2011, the amount of federal and state funding awarded to local law enforcement projects was cut from $15 million to $2 million. To reduce crime, police chiefs need resources to: improve technology, use data and mapping analysis, administer cost-effective training, and increase collaboration. In focus groups, advocates and service providers indicated that, at the local level, the complexities of responding to the needs of victims and survivors are increasing as available resources are decreasing. At the state level, to help ensure resources are focused where victim and survivor needs are the greatest, advocates have developed an instrument, the Empowerment and Satisfaction Questionnaire (ESQ), to collect and analyze data on the impact of services.

B Expand Local Resources to Reduce Recidivism

Use prison for serious offenders and longer sentences.

- Require the low-level misdemeanants (UM and M3 only) to be sentenced to a sanction other than prison.
- These sanctions can include up to two years of incarceration locally, placement on County Intermediate Punishment, probation or any combination of these diversion approaches. Right now these offenders serve an average of four months in prison prior to their minimum sentence date.

Provide performance-driven funding to help counties divert misdemeanants and the short-minimum sentence (<1 year in prison) population.

- Fund counties who voluntarily expand their local capacity to sanction and reduce the risk of recidivism among misdemeanor and short-min felony offenders currently admitted to prison.
- Distribute these resources on a performance basis through counties, so that funds can be incorporated into strategic plans to maximize their use for probation and diversion capacity (e.g. electronic monitoring, day reporting, intensive supervision, treatment).

Invest in strengthening the effectiveness of probation.

- Increase grant-in-aid funding to county probation and parole departments by $5 million based on progress toward meeting evidence-based practices and submission of key data elements.
- Overhaul the County Annual Probation and Parole (CAPP) Annual Statistical Report, which collects information about county probation systems, to streamline the report and focus on measuring the effectiveness of supervision.

Rationale: A third of people admitted to prison arrive within one year of their minimum sentence (“short mins”) and, consequently, remain in prison an average of 200 days beyond that sentence, in many cases in order to complete required programming. Incarcerating these short min offenders costs the state $100 million. Pennsylvania is one of only nine states that incarcerate misdemeanants in prison.
C Reduce Costly Inefficiencies in the Parole Process

Increase the number of parole cases interviewed per month by 20 percent.
- Set a joint goal, involving the Department of Corrections (DOC) and Parole Board, to increase the number of parole interviews held each month by 20 percent, from 1,807 to 2,169, by 2015.
- Address inefficiencies that delay decision-making in parole, such as eliminating the DOC Vote Sheet requirement, reducing staffing demands for videoconferencing, streamlining the joint resolution hearing process, and giving decision-makers discretion to rely on administrative records to make a Board action.

Align resources to prepare inmates for parole, promote stable housing and pay victims compensation.
- Promote payments to the Crime Victims Compensation Fund earlier in a person's sentence in order to avoid delays in the person's release due to nonpayment.
- Utilize CCC and CCF capacity for higher-risk individuals approved for parole but who lack a housing plan. For low risk offenders being held awaiting a housing plan, set a window within which the plan will be submitted to the Parole Board after placement on parole.

Work to reduce the release of offenders to no supervision.
- In collaboration with law enforcement, victim services agencies, and community agencies, the Parole Board and DOC should work to reduce the number of offenders released to the community with no supervision after serving their entire maximum sentence in prison.

Rationale: Between FY 2005 and FY 2011, the percent of total cases not resulting in an interview increased from 21 to 41 percent. In FY 2011, the most frequently cited reason as to why cases did not receive an interview related to system issues, including a lack of materials and the timely delivery of reports.

As of January 31, 2012, a total of 2,339 offenders who had been approved for parole remained in prison. Each year, the state spends $77 million to keep these offenders already approved for parole in prison. Approximately two-thirds had incomplete housing plans; and the remainder had miscellaneous reasons for remaining in prison, including failure to pay into the Crime Victims Compensation Fund.

D Increase Accountability and Improve CCC/CCFs

Eliminate "pre-release" and prioritize CCC and CCF placement for higher-risk offenders,
- Prohibit the placement of offenders who have not been approved for parole (i.e. "pre-release" offenders.) in these facilities.
- Prioritize the placement of higher-risk offenders transitioning to parole, whose level of need is compatible with the intensity of programming delivered in CCCs and CCFs.

Respond to technical parole violations (TPVs) with swiftness and certainty.
- Redesign CCCs and CCFs as parole transition and violation centers using best practices to reduce recidivism and equip these centers to respond with swiftness and certainty to TPVs.
- Sanction TPVs to three- to six-month terms in CCC and CCFs with targeted programming.
- Create an exemption enabling revocation to prison for the most dangerous offenders, including absconders, sex offender TPVs and for violations involving assaultive behavior or the use of a weapon.

Rationale: Pennsylvania operates a $100 million network of intensive residential programs, called CCCs and CCFs, for people who are either transitioning from prison to the community or failing to comply with conditions of parole supervision. In 2009, a statewide evaluation identified significant opportunities for increasing program effectiveness, including prioritizing higher risk offenders, avoiding the mixing of offender populations, and incorporating evidence-based practices in programming. Right now, the cost of sanctioning most TPVs in prison costs approximately $97 million annually.
### Impact, Savings Generated & Reinvestment

<table>
<thead>
<tr>
<th></th>
<th>FY2013</th>
<th>FY2014</th>
<th>FY2015</th>
<th>FY2016</th>
<th>FY2017</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Beds Saved</strong></td>
<td>719</td>
<td>1,486</td>
<td>2,109</td>
<td>2,407</td>
<td>2,730</td>
<td>$260.5 M</td>
</tr>
<tr>
<td><strong>Gross Savings</strong></td>
<td>$7,000,000</td>
<td>$35,600,000</td>
<td>$56,000,000</td>
<td>$72,700,000</td>
<td>$89,200,000</td>
<td>$260.5 M</td>
</tr>
<tr>
<td><strong>Reinvestment (%)</strong></td>
<td>$7 M (100%)</td>
<td>$16 M (45%)</td>
<td>$21 M (38%)</td>
<td>$21 M (29%)</td>
<td>$21 M (24%)</td>
<td>$86 M (33%)</td>
</tr>
<tr>
<td>Law Enforcement Grant Program</td>
<td>$2,000,000</td>
<td>$4,000,000</td>
<td>$8,000,000</td>
<td>$8,000,000</td>
<td>$8,000,000</td>
<td>$30 M</td>
</tr>
<tr>
<td>Short-Min Diversion Incentive</td>
<td>$2,000,000</td>
<td>$4,000,000</td>
<td>$4,000,000</td>
<td>$4,000,000</td>
<td>$4,000,000</td>
<td>$18 M</td>
</tr>
<tr>
<td>Probation Improvement &amp; Performance Grants</td>
<td>$1,500,000</td>
<td>$5,000,000</td>
<td>$5,000,000</td>
<td>$5,000,000</td>
<td>$5,000,000</td>
<td>$21.5 M</td>
</tr>
<tr>
<td>Parole Board Process</td>
<td>$1,000,000</td>
<td>$2,000,000</td>
<td>$2,000,000</td>
<td>$2,000,000</td>
<td>$2,000,000</td>
<td>$9 M</td>
</tr>
<tr>
<td>Victim Services</td>
<td>$500,000</td>
<td>$1,000,000</td>
<td>$2,000,000</td>
<td>$2,000,000</td>
<td>$2,000,000</td>
<td>$7.5 M</td>
</tr>
<tr>
<td><strong>Net Savings</strong></td>
<td>$ - M</td>
<td>$19.6 M</td>
<td>$35 M</td>
<td>$51.7 M</td>
<td>$68.2 M</td>
<td>$174.5 M</td>
</tr>
</tbody>
</table>

---

To learn more about the justice reinvestment strategy in Pennsylvania and other states, please visit: justicereinvestment.org

---

Justice Center
The Council of State Governments

This project was supported by Grant No. 2010-RBBX-K071 awarded by the Bureau of Justice Assistance. The Bureau of Justice Assistance is a component of the Office of Justice Programs, which also includes the Bureau of Justice Statistics, the National Institute of Justice, the Office of Juvenile Justice and Delinquency Prevention, and the Office for Victims of Crime. Points of view or opinions in this document are those of the author and do not necessarily represent the official position or policies of the United States Department of Justice. To learn more about the Bureau of Justice Assistance, please visit: http://www.ojp.usdoj.gov/BJA/.

Research and analysis described in this report has been funded in part by the Public Safety Performance Project of The Pew Charitable Trusts’ Center on the States. Launched in 2006 as a project of the Pew Center on the States, the Public Safety Performance Project seeks to help states advance fiscally sound, data-driven policies and practices in sentencing and corrections that protect public safety, hold offenders accountable, and control corrections costs. To learn more about the Public Safety Performance Project, please visit: http://www.pewpublicsafety.org/.

Points of view, recommendations, or findings stated in this document are those of the authors and do not necessarily reflect the official position or policies of The Pew Charitable Trusts, Council of State Governments Justice Center, or the Council of State Governments’ members. Suggested citation: Council of State Governments Justice Center, Justice Reinvestment in Pennsylvania: A Comprehensive Public Safety Plan for the Commonwealth (New York: Council of State Governments Justice Center, 2012).

Council of State Governments Justice Center is a national nonprofit organization that serves policymakers at the local, state, and federal levels from all branches of government. The Justice Center provides practical, nonpartisan advice and consensus-driven strategies, informed by available evidence, to increase public safety and strengthen communities.

**PROJECT CONTACT:**
Marc Pelka, Policy Analyst | mpelka@csg.org
justicecenter.csg.org